

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS PO Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/594,131	09/25/2006	Tomoaki Tsuji	295731US0PCT	7082	
22850 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAM	EXAMINER	
			WITHERSPOON, SIKARL A		
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER	
			1621	•	
			NOTIFICATION DATE	DELIVERY MODE	
			04/14/2008	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

# Office Action Summary

Application No.	Applicant(s)			
10/594,131	TSUJI ET AL.			
Examiner	Art Unit			
Sikarl A. Witherspoon	1621			

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Statue	

Period fo	r Reply
WHIC - Exter after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS,  HEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  source of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed  SIX (6) MONTHS from the maining date of this communication.  We have the communication of the
Status	
2a)□	Responsive to communication(s) filed on <u>26 December 2006</u> .  This action is FINAL. 2b)\(\times\) This action is non-final.  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.
Dispositi	on of Claims
5)□ 6)⊠ 7)□	Claim(s) 1-6 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  Claim(s) is/are allowed.  Claim(s) 1-6 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction and/or election requirement.
Applicati	on Papers
10)□	The specification is objected to by the Examiner.  The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.
Priority ι	nder 35 U.S.C. § 119
a)[	Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
	140
Attachmen  1) Notice	(s) e of References Cited (PTO-892)  4) Interview Summary (PTO-413)

 Notice of Draftsperson's Patient Drawing Review (PTO-948)
 Notice of Draftsperson's Patient Drawing Review (PTO-948)
 Notice of Draftsperson's Patient Drawing Review (PTO-948) Paper No(s)/Mail Date 10/31/06, 12/26/06.

Paper No(s)/Mail Date. \_\_\_\_\_ 5) Notice of Informal Patent Application

6) Other: \_\_\_\_\_ Part of Paper No./Mail Date 20080408 Application/Control Number: 10/594,131

Art Unit: 1621

### DETAILED ACTION

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention,

Claims 1-6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1, 2, and 3 recite limitations related to a "phosphor" atom. As far as the examiner knows, a phosphor is a substance that exhibits phosphorescence, typically a transition metal compound or a rare earth metal compound of various types. The examiner believes that applicants are actually referring to the phosphorus atom(s) of the compound of formula I. The examiner seeks clarification from applicant. It is should be pointed out that applicants have used the term "phosphor" in the specification as well. Claims 4-6 are rejected since the base claim is rejected under 112, second paragraph.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English lanuage. Application/Control Number: 10/594,131

Art Unit: 1621

Claims 1 and 2 are rejected under 35 U.S.C. 102(e) as being anticipated by Whiteker et al (US 2004/0199023).

The bisphosphite compound of formula VI disclosed on page 13 of the reference, and the composition comprising said compound, and rhodium (p 17, table 1), anticipate the instant claims.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 3-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Whiteker et al.

The instant claims are drawn to a process for preparing an aldehyde by hydroformylation of an olefin in the presence of a bisphosphite of the instant invention and a Group 8 to 10 metal.

Whiteker et al teach the hydroformylation of vinyl acetate to form 2-acetoxypropionaldehyde and 3-acetoxypropionaldehyde, but do not expressly teach an example wherein ligand VI as taught therein is used. However, it would have been obvious to a person having ordinary skill in the art that all of the ligands taught by Whiteker et al, including compound VI, may have been employed in the hydroformylation process taught.

Application/Control Number: 10/594,131

Art Unit: 1621

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sikarl A. Witherspoon whose telephone number is 571-272-0649. The examiner can normally be reached on M-F 8:30-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yvonne Eyler can be reached on 571-272-0871. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sikarl A. Witherspoon/ Primary Examiner, Art Unit 1621